

# VIRTUAL AND REAL AUDITORY ENVIRONMENTS

PACS: 43.55.K

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## ABSTRACT

The aim of an auditory virtual environment is to create situations in which humans have auditory perceptions that do not correspond to their real environment but to a virtual one. Applications such as man-machine interaction or entertainment often require interactive auditory virtual environments to create a plausible rather than an authentic virtual environment. In this presentation the most widely employed source, room and listener models in interactive virtual auditory environments will be reviewed and their simplifications discussed.

## INTRODUCTION

An Auditory Virtual Environment (AVE) is, like a Real Auditory Environment (RAE), composed of

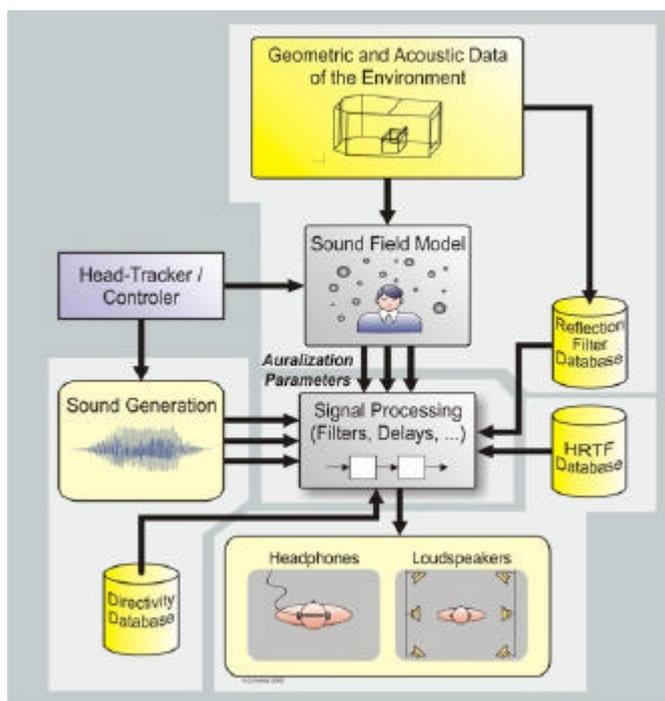


Fig.1 Block diagram of a typical Auditory Virtual Environment

a source, a medium and a receiver. Besides, in an AVE there is a signal-processing unit. While in the real world a sound, once generated, propagates through the environment until eventually arriving at the listener, in a virtual environment the signal processing performs the equivalent task. As illustrated in figure 1, the signal-processing unit accesses the audio signal at the sound-source module (sound generation and directivity), filters it with the delays and the effects of boundary reflections calculated in the room module (geometrical and acoustical data of the environment, reflection-filter database and sound-field model) and subsequently filters the result according to the chosen reproduction format (head-related transfer-function database and reproduction formats).

Besides the references given on particular aspects of AVEs throughout this text, the paper by Lehnert and Blauert [1], the paper by Savioja et. al. [2] and the book by Begault [3] constitute a good complement to the short overview presented here.

## **SOUND SOURCES MODELS**

### Sound Generation

The generation of a digital audio signal can be achieved either by recording or by synthesis. In the former case the audio signal should be recorded under anechoic conditions to make it suitable for reflections to be added. The recorded signal should exhibit a high Signal-to-Noise Ratio to avoid undesirable artefacts in the virtual environment. It should also be recorded as a mono signal, because the sound sources are usually treated as point sources by the room model. Instead of recording, the audio signal can also be synthesised. The physical modelling of the sound source is a powerful approach as it allows adjusting the model parameters in a physically predictable way [4]. When compared to recorded sound, synthesizing requires less data to be transferred, but at the cost of increased computation. An area of present intense research is in haptic-related sounds [5].

### Directivity Models

Directivity models can be implemented using two basic approaches: directional filtering and a set of elementary sources. Directivity models can be used, for example, to model the human head directivity [6] or to model the directivity of musical instruments or loudspeakers [7]. When the radiation pattern of a sound source is not suitable for a point-source approximation as is, for example, the case of a clarinet, several point sources can be used simultaneously. If the filters are to be used in real time applications, simplifications have to be introduced in order to match real-time constraints [8].

## **ROOM MODELS**

### Room Models

In a bounded space the listener receives both direct sound and the sound reflected from the boundaries. In order to calculate the boundary reflections, an acoustic model of the environment needs to be built. This involves the definition of both the geometry and the acoustic properties of the boundaries. The methods available to model sound propagation in rooms can be divided in three classes: wave-based, statistics-based and ray-based methods [9, 10].

Wave-based methods are the most accurate as the wave nature of sound is preserved in this approach. However, as the wave equation has analytical solutions only for the simplest geometries and boundary conditions, numerical methods have to be employed to solve most problems of practical interest. Among them are the Difference Methods (DM), of which Finite-Difference Time-Domain (FDTD) is a widely used method [11], and Element Methods (EM), of which the Boundary-Element Methods (BEM) and the Finite-Elements Methods (FEM) [12] are

the most employed. FEM and BEM are only appropriate for the calculation of low frequencies, due rapid increase in computational requirements as the frequency increases. However neither the DM or the EM methods are suitable to be used in real time systems due to their high computational requirements.

Statistical Methods (SM) such as the Statistical-Energy-Analysis (SEA) method [13] are usually used for noise-level prediction in coupled systems in which sound transmission by structures plays an important factor. However, the output of the SM methods is not adequate for subsequent auralisation, as it does not provide information on individual reflections.

In Ray-based Methods rays substitute waves and geometrical-acoustics rules the propagation of sound. Therefore, phenomena such as interference or diffraction are not easily taken into account. The geometrical approximation is valid when the sound wavelength is small compared with the global dimensions of the surfaces and large compared with their roughness. The most employed Ray-based methods are the Ray-Tracing (RT) [14] and the Image-Source (IS) method [15]. In the RT algorithm the source emit rays, which are reflected at the domain boundaries. The rays are followed throughout the domain until they became attenuated below a specified threshold, leave the domain or reach the listener. The listener is modelled as a detection object and due to its isotropic characteristics a sphere is commonly used. The most used reflection rule is specular reflection, although diffusion can be added at the cost of extra computation [1].

The Image-Source method (IS) performs, like the RT, a geometrical approximation to the sound propagation. The reflection paths from the source to the listener are calculated by sequentially mirroring the sound source against the room boundaries. With this methodology, reflections of any order can be obtained. However, it becomes highly expensive as the order of reflections increases. A hybrid IS/RT method, [16], has been developed to improve efficiency. With this approach the early reflections are calculated by the IS method due to its high accuracy and efficiency in finding early reflections and the late reflections are calculated by the RT method due to its better efficiency in finding higher order reflection paths. The results of the path-finding process (the room impulse response) calculated either by the RT method, the IS method or the hybrid RT/IS method is illustrated in figure 2. The impulse response shown in this figure was calculated using a specular reflection rule, which explains the gaps, observed between reflections.

An alternative to the physical approach consists on a perceptual approach. In this case the reflections (early and late) are adjusted to convey specific auditory perceptions (e.g. room size or source distance) [17, 18].

### Air and Wall Filters

The interaction of a wave front with a boundary is a very complex phenomenon, which depends both on frequency and the angle between the incoming wave and the boundary [19]. For real-time applications simplifications such as an angle independent treatment and neglecting the wave phenomena (scattering and diffraction) are often employed. The absorption of sound by air depends mainly on distance, temperature and humidity. Tables with analytical expressions for the absorption values can be found, for example, in [20].

### Reverberation Modelling

Real-time calculation of a complete room impulse response (as in figure 2) is beyond present computational capabilities. However, if the late reverberant field is assumed as nearly diffuse and the corresponding impulse response exponentially decaying random noise it is possible to calculate the late reverberation without having to calculate it's individual reflections. Reverberation models should exhibit an exponentially decaying impulse response with a dense pattern of reflections to avoid fluttering in the reverberation, a reverberation which decreases as a function of frequency in order to simulate the air-absorption and low-pass-filtering characteristics of the materials and the production of partly incoherent signals at the listeners'

ears in order to produce a good spatial impression. Two very good reviews of reverberation models can be found in [21, 22].

## REPRODUCTION FORMATS

The formats available for audio reproduction can be divided according to their use or not of Head-Related Transfer Functions (HRTF). An HRTF represents a free-field transfer function from a fixed point in space to a point in the test-person's ear canal [23, 24].

In a headphone system a monophonic time-domain signal is filtered with the left and right HRTFs to create in the listener the perception of a virtual source. The inverse headphones transfer function is also used to deconvolve the signal from the headphones' own filtering. In order to include dynamic cues, such as head movement, a head tracker should be employed.

In a loudspeaker-based system, the monophonic time-domain signal is filtered with the left and right HRTFs and the inverse loudspeaker transfer function is used to deconvolve the signal from the loudspeaker's filtering. Besides, it is also necessary to consider the loudspeaker's ipsilateral and contralateral transfer functions to design the cross-talk cancelling filters. These filters are necessary to cancel the left-loudspeaker signal in the listener's right ear and vice versa. This system limits the listener position to a very reduced area unless a headtracker is used [25]. Also, the listening room should be appropriately damped in order to avoid wall reflections to disturb the signal arriving at the listener's ears.

Within the reproduction formats not using HRTFs the Vector-Based Amplitude Panning (VBAP) [26], Ambisonics [27], Wave-Field Synthesis [28] and ITU 5.1 [29] are the most widely used. The VBAP reproduction method allows arbitrary loudspeakers placement and it uses the principle employed in standard stereo. Ambisonics performs sound field synthesis using spherical harmonics for the decomposition and composition of the sound field. This format can reproduce sounds situated over the 360 degrees of the horizontal plane (pantophonic systems) or over the full sphere (periphonic systems). Wave-field synthesis aims to reproduce the wavefield over the entire space. Unlike the previous methods, Wave-Field Synthesis does not limit the listener to a particular listening spot, although its set up requires a considerable number of loudspeakers. This format is presently subject to intensive research and it promises to be the next breakthrough in audio reproduction.

## SIGNAL PROCESSING

Having described the main components of an AVE the focus turns now to the signal-processing module. Figure 3 illustrate the transformations undergone by a sound-source signal, which suffers two reflections before it arrives at the listener ( $g$  represents gains and  $Z^n$  represents a delay). The stage 7 of the block diagram is dependent on the chosen reproduction format. In this example HRTFs filters are employed.

There is also the possibility of using a pre-recorded or a pre-computed impulse response. This approach has the advantage of allowing the use of real impulse responses, which are interpolated in real time for positions and orientations not present in the database [30]. It has, however, the disadvantages of requiring a huge storage capability and not allowing real-time modifications of the source, room or listener parameters.

Whatever approach is chosen, the "smoothness" and the "responsiveness" of the system are critical of a real time AVE. Responsiveness is related to delay with which the system responds to an action of the subject. Smoothness is related with the refresh rate with which the auralization unit takes account of a changing auditory scenario [24, 31]. The parallelization of the signal processing is a possible strategy to speed-up the calculations. However, care should

be taken that the benefits gained in performance are not offset by the communications overhead inherent to a parallelisation.

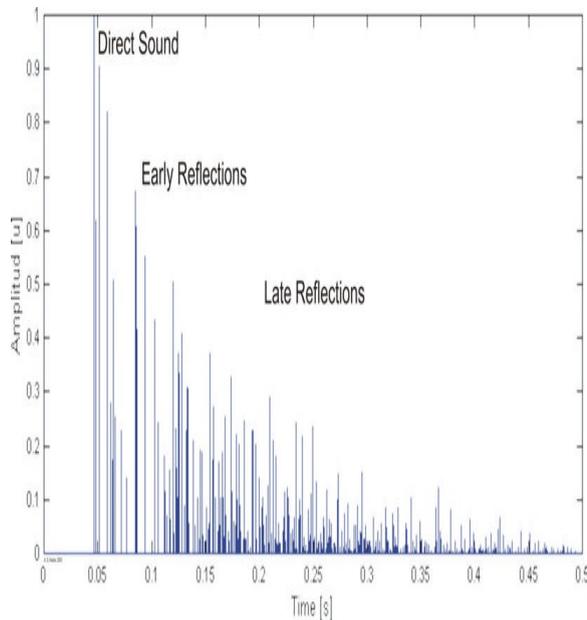


Figure 2 Simulated room impulse response

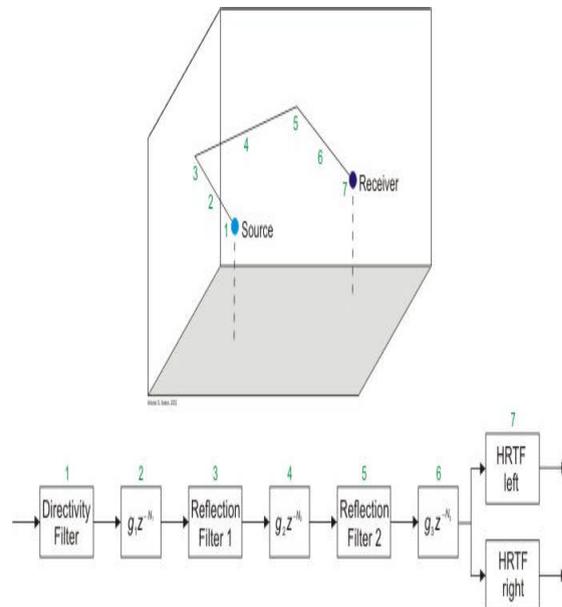


Fig. 3 Spatial and Block diagram of a second order auralization unit

## CONCLUSIONS

Auditory virtual environments replicate real auditory environments by creating models for sound sources, propagation medium, and listener characteristics. In a virtual environment the signal processing module performs what in a real environment is performed by the laws of physics: the propagation of the audio signal through the environment. Different approaches are available for source, medium and listener models. However, for most applications real-time processing constrains the models selection and the simplifications imposed. Areas with a great research potential include multimode interactions and further development of methods to judge the quality of a simulation.

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## **NOTAS**

Nao esquecer de referir o paper do Savioja como um paper com mais detalhes que estes.

Paper blauert, kuttruf, begault.

Talvez possa trazer alguma coisa do specifications do systema do crosses

Nao esquecer de dar uma vista de olhos ao paper do Lenhert.

Boa bibliografia

Questoes em aberto, future research

Ter a certeza que o meu argumento do papel do signal processing substitui as leis da fisica faz sentido.

Audio Signal vs Sound Source (as vezes um outras vezes outro)

Tudo bem explicado

Clarify interactive / real time

Alguma referencia no texto a authentic vs realistic (original paper title)

Areas still to explore (haptic sound related areas). Research in FTDT (advantages/real time). Wave field synthesis prospects. Parallel computing in signal processing ?